

DOCKET NO.: SWAB-0003/1770-322US

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

David Harbec, et al.

Confirmation No.: 2219

Application No.: 10/535,050

Group Art Unit: 1754

Filing Date: January 30, 2006

Examiner: Not Yet Assigned

For: METHOD FOR PRODUCING CARBON NANOTUBES USING A DC NON-TRANSFERRED THERMAL PLASMA TORCH

DATE OF DEPOSIT: AUGUST 30, 2006

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME John W. Caldwell  
REGISTRATION NO.: 28,937

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /C.B./

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PATENT

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

- ☐ Certification in Accordance with § 1.97(e) is attached; or  
☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **33, 36** listed on the attached Form PTO-1449 are enclosed herewith.

- ☒ Copies of reference numbers **34, 35** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

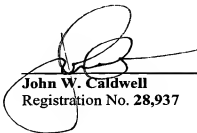
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There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: AUGUST 30, 2006



\_\_\_\_\_  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>SWAB-0003/1770-322US</b>	Application No. <b>10/535,050</b>
		Applicant <b>David Harbec, et al.</b>	
		Filing Date <b>January 30, 2006</b>	Group <b>1754</b>
		Confirmation No. <b>2219</b>	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
/C.B./	<b>33</b>	Smiljanic, O. et al., "Gas Phase Synthesis of SWNT in an Atmospheric Pressure Plasma Jet", <i>Conference at Nanotube in Postdam, Germany, July 22, 2001</i> , page 49 (Abstract), Poster No 18, Slides of a Poster, Production of SWNT: Present Methods and Problems, et al.,	
<b>EXAMINER</b>	/Carlos Barcena/		<b>DATE CONSIDERED</b> 09/24/2008

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**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
**SWAB-0003/1770-322US**

Application No.  
**10/535,050**

Applicant  
**David Harbec, et al.**

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**January 30, 2006**

Group  
**1754**

Confirmation No.  
**2219**

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
/C.B./	<b>34</b>	2001/0050219 A1	12/13/01	Anazawa, et al.	204	173
/C.B./	<b>35</b>	2006/0127299 A1	06/15/06	Harbee, et al.	423	445

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
/C.B./	<b>36</b>	CA 2 385 802 A1	11/09/03	Canada		

**EXAMINER** /Carlos Barcena/

**DATE CONSIDERED**

09/24/2008